12-0601 A

Express Mail Mail Label No. EV 012824605US

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CTX-036CN
First Named Inventor	Mitchell
Title	Methods and Apparatus for Efficiently Transmitting Interactive Application Data Between a Client and Server Using Markup Language

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status
   - ☐ Applicant claims small entity status
   - ☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 45]
   - Written Description - (33 pages)
   - Claims - (5 pages)
   - Abstract - (1 page)
   - Sheets of Drawings - (6 sheets)
     - ☒ Formal
     - ☐ Informal
4. ☒ Oath or Declaration [Total Pages]
   - a. ☐ Newly executed (original)
   - b. ☒ Copy from a prior application (37 CFR 1.63(d))

   (for continuation/divisional with Box 17 completed)

   [Note Box 5 below]
5. ☐ Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
   - ☐ Computer Readable Form (CRF)
   - ☐ Paper Copy (identical to computer copy)
   - ☐ CD (2 copies) (identical to computer copy)
   - ☐ Statement verifying identity of above copies

ADDRESS TO: **Box Patent Application**
Commissioner for Patents
Washington, D.C. 20231

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (*when there is an assignee*)
   - ☐ Power of Attorney
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
    - ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
    - ☐ Drawings [Total Sheets]
12. ☒ Return Receipt Postcard (*specifically itemized*)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority claimed*)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☒ Other:
    Associate Power of Attorney

17. ☒ **If a CONTINUING APPLICATION:** Amend the specification by inserting on page 1, before the first line, the sentence:
--This is a
☒ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. 09/391,068, filed on September 7, 1999, the entire disclosure of which is incorporated by reference herein.--
Priority to the above application(s) is claimed under 35 U.S.C. 120.
Prior application information: Examiner: Vaughn. Group/Art Unit: 2152.

18. ☐ **Priority - 35 U.S.C. 119**
    - ☐ Priority of application Serial No. _____ filed on _____ in_____ is claimed under 35 U.S.C. 119.
      - ☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.
      - ☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

Direct all correspondence to:
Patent Administrator
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100
Customer No. 021323

SIGNATURE BLOCK

Respectfully submitted,

Date: November 2, 2001
Reg. No. 42,898
Tel. No.: (617) 248-7954
Fax No.: (617) 790-0339

David G. Miranda
Agent for Applicants
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110

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Express Mail Mail Label No. EV 012824605US

FEE TRANSMITTAL
FY 2002

Complete if Known	
Application Serial Number	Not Yet Assigned
Filing Date	Not Yet Assigned
First Named Inventor	Mitchell
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket No.	CTX-036CN

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
   ☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
   ☐ Required Fees (copy of this sheet enclosed).
   ☒ Additional fee required under 37 CFR 1.16 and 1.17.
   ☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity Fee ($)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
a Total Claims	24	- 20 = 4	x $ 18.00 =	72.00
Independent Claims	3	- 3 = 0	x $ 84.00 =	
Multiple Dependent Claim(s), if any			$280.00 =	

TOTAL:
SMALL ENTITY DISCOUNT:
SUBTOTAL (1) ($) 812.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x $ 18.00 =	
Indep.	-	=	x $ 84.00 =	
☐ First Presentation of Multiple Dep. Claim			+ $280.00 =	

TOTAL: ($)
SMALL ENTITY DISCOUNT: ($)
SUBTOTAL (2) ($) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
Other fee (Specify)			

SUBTOTAL (3) ($) 0

SUBTOTAL (1)	812.00
SUBTOTAL (2)	0
SUBTOTAL (3)	0

TOTAL ($) 812.00

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